



## UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION | BER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|-------------|-----|---------------------|-----------------------|------------------------|
| 09/942,364  |     | 08/29/2001          | Eric Ronald Morley    | 2157                   |



Stephanie J. Smith  
 Beck and Tysver, P.L.L.C.  
 2900 Thomas Avenue S., Suite 100  
 Minneapolis, MN 55416

CONFIRMATION NO. 8898

FORMALITIES LETTER



\*OC000000006840019\*

Date Mailed: 10/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The oath or declaration is unsigned.

To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

*MTB*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/22/2002 BSAYASII 00000149 09942364

01 FC:105

130.00 OP



COPY OF PAPERS  
ORIGINALLY FILED

Sector 113

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |                    |
|--|--------------------|
| Application Number                       | 09/942,364         |
| Filing Date                              | 8/29/2001          |
| First Named Inventor                     | Eric Ronald Morley |
| Group Art Unit                           | 2161               |
| Examiner Name                            |                    |
| Total Number of Pages in This Submission | 2157               |
| Attorney Docket Number                   |                    |

### ENCLOSURES (check all that apply)

|  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                   | <input type="checkbox"/> Assignment Papers<br>(for an Application)                            | <input type="checkbox"/> After Allowance Communication<br>to Group                            |
| <input checked="" type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Reply   | <input type="checkbox"/> Licensing-related Papers   | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)   | <input type="checkbox"/> Petition to Convert to a<br>Provisional Application                  | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):            |
| <input type="checkbox"/> Express Abandonment Request                                       | <input type="checkbox"/> Terminal Disclaimer  | <input type="checkbox"/> Declaration; Return Postcard   |
| <input type="checkbox"/> Information Disclosure Statement                                  | <input type="checkbox"/> Request for Refund   |   |
| <input type="checkbox"/> Certified Copy of Priority<br>Document(s)                         | <input type="checkbox"/> CD, Number of CD(s) _____  |   |
| <input checked="" type="checkbox"/> Response to Missing Parts/<br>Incomplete Application   | Remarks   |   |
| <input checked="" type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 |   |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |                         |
|-------------------------------|-------------------------|
| Firm<br>or<br>Individual name | Beck & Tysver, P.L.L.C. |
| Signature                     |                         |
| Date                          | 11/29/01                |

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

11-29-01

|                       |                |
|-----------------------|----------------|
| Typed or printed name | Mary S. Keller |
| Signature             |                |
| Date                  | 11-29-01       |

Burden Hour Statement: This form is estimated to take 02 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)  
**130.00****Complete if Known**

|                      |                    |
|----------------------|--------------------|
| Application Number   | 09/942,364         |
| Filing Date          | 8/29/2001          |
| First Named Inventor | Eric Ronald Morley |
| Examiner Name        |                    |
| Group Art Unit       | 2161               |
| Attorney Docket No.  | 2157               |

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |               |
|------------------------|---------------|
| Deposit Account Number | 500-246       |
| Deposit Account Name   | Beck & Tysver |

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

 Check    Credit card    Money Order    Other
**FEES CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101      | 740      | 201      | 370      | Utility filing fee     |          |
| 106      | 330      | 206      | 165      | Design filing fee      |          |
| 107      | 510      | 207      | 255      | Plant filing fee       |          |
| 108      | 740      | 208      | 370      | Reissue filing fee     |          |
| 114      | 160      | 214      | 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent        | -20** =      | X              | =        |
| Claims             | -3** =       | X              | =        |
| Multiple Dependent |              |                | =        |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                     |
| 102      | 84       | 202      | 42       | Independent claims in excess of 3                          |
| 104      | 280      | 204      | 140      | Multiple dependent claim, if not paid                      |
| 109      | 84       | 209      | 42       | ** Reissue independent claims over original patent         |
| 110      | 18       | 210      | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\* or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

| Large Entity Fee Code     | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description  | Fee Paid |
|---------------------------|-----------------------|----------|----------|--|----------|
| 105                       | 130                   | 205      | 65       | Surcharge - late filing fee or oath  | 130.00   |
| 127                       | 50                    | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                       | 130                   | 139      | 130      | Non-English specification  |          |
| 147                       | 2,520                 | 147      | 2,520    | For filing a request for ex parte reexamination                            |          |
| 112                       | 920*                  | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                       | 1,840*                | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115                       | 110                   | 215      | 55       | Extension for reply within first month                                     |          |
| 116                       | 400                   | 216      | 200      | Extension for reply within second month                                    |          |
| 117                       | 920                   | 217      | 460      | Extension for reply within third month                                     |          |
| 118                       | 1,440                 | 218      | 720      | Extension for reply within fourth month                                    |          |
| 128                       | 1,960                 | 228      | 980      | Extension for reply within fifth month                                     |          |
| 119                       | 320                   | 219      | 160      | Notice of Appeal   |          |
| 120                       | 320                   | 220      | 160      | Filing a brief in support of an appeal                                     |          |
| 121                       | 280                   | 221      | 140      | Request for oral hearing   |          |
| 138                       | 1,510                 | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                       | 110                   | 240      | 55       | Petition to revive - unavoidable   |          |
| 141                       | 1,280                 | 241      | 640      | Petition to revive - unintentional   |          |
| 142                       | 1,280                 | 242      | 640      | Utility issue fee (or reissue)   |          |
| 143                       | 460                   | 243      | 230      | Design issue fee   |          |
| 144                       | 620                   | 244      | 310      | Plant issue fee  |          |
| 122                       | 130                   | 122      | 130      | Petitions to the Commissioner  |          |
| 123                       | 50                    | 123      | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 126                       | 180                   | 126      | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581                       | 40                    | 581      | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146                       | 740                   | 246      | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                       | 740                   | 249      | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                       | 740                   | 279      | 370      | Request for Continued Examination (RCE)                                    |          |
| 169                       | 900                   | 169      | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____ |                       |          |          |  |          |

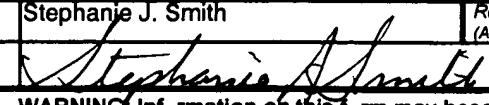
\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

130.00

**SUBMITTED BY**

Complete (if applicable)

|                   |   |                  |        |           |              |          |
|-------------------|---|------------------|--------|-----------|--------------|----------|
| Name (Print/Type) | Stephanie J. Smith  | Registration No. | 34,437 | Telephone | 612-915-9633 |          |
| Signature         |  |                  |        |           | Date         | 11/29/01 |

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